Bank Guidance

Thresholds for procurement approaches and methods by country

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Content

Guidance setting out indicative thresholds for procurement approaches and methods by country

Applicable to

IBRD,IDA

Issuer

Chief Procurement Officer, OPCS;

Sponsor

Lead Specialist, OPCS;

SECTION I – PURPOSE AND APPLICATION

- 1. This Guidance lists indicative thresholds for procurement approaches and methods by country.
- 2. This Guidance applies to Bank staff.

SECTION II - DEFINITIONS AND ACRONYMS

As used in this Guidance, the capitalized terms and acronyms have the meaning set out: (a) in Section II of the <u>Procurement Policy</u>; Section II of the <u>Procurement Directive</u>, and Section II of the <u>Procurement Procedure</u>; or (b) below.

1. AFR: Africa Region.

2. **EAP:** East Asia and Pacific Region.

3. **ECA:** Europe and Central Asia Region.

4. LAC: Latin America and the Caribbean Region.

5. **MENA:** Middle East and North Africa Region.

6. **RFQ:** Request for Quotations.

7. **SAR:** South Asia Region.

SECTION III - SCOPE

A. OVERVIEW

- This Guidance lists indicative thresholds for procurement approaches and methods by country.
 These thresholds are determined on the basis of (i) the size, depth, and conditions of specific
 markets, and the capacity of the local industry; (ii) assessed level of interest of non-domestic
 firms and individuals to participate in domestic markets; and (iii) the nature, complexity, and
 level of risk of some industries.
- 2. These thresholds complement market analysis carried out for specific IPF operations, <u>see PPSD Guidance</u> for further information on market analysis and are expected to be observed, unless the specific market analysis advises the use of different set of thresholds otherwise. Any departure from thresholds set out in this Guidance shall be justified in the PPSD and reflected in the PAD and/or the Procurement Plan, as appropriate.
- 3. Open competitive procurement is the Bank's preferred procurement approach, whenever possible, to maximize fairness of opportunity to bid, and approaching the international market is appropriate for situations where the participation of foreign firms will increase competition and may enhance the achievement of best value for money and fit for purpose results.
- 4. These thresholds approved by the CPO at the request from the APMs, observing the ADM framework and procedural instructions set out in Section III.C of the Procurement Procedure.

B. THRESHOLDS

Thresholds for Procurement Approaches and Methods (US\$ thousands) As of June 15, 2016

| , ' | | | | | | | | | | |
|--------------------------------|--------|----------------------------|-----------------------|----------|------------------------------|-----------------------|-----------|-----------------------|----------------------------|--|
| | | Works | | | Goods, IT and non-consulting | | | Shortlist of national | | |
| | | | | | | services | | | consultants Engineering | |
| Country | Region | Open international ≧ | Open national < | RfQ ≦ | Open internation al ≧ | Open national < | RfQ¹ ≦ | Consulting services | & construction supervision | |
| Afghanistan | SAR | 5,000 | 5,000 | 100 | 200 | 200 | 50 | 100 | N/A | |
| Albania | ECA | 5,000 | 5,000 | 200 | 1,000 | 1,000 | 100 | 300 | N/A | |
| Angola | AFR | 10,000 | 10,000 | 200 | 1,000 | 1,000 | 100 | 100 | 300 | |
| Argentina | LAC | 25,000 | 25,000 | 350 | 5,000 | 5,000 | 100 | 1,000 | N/A | |
| Armenia | ECA | 5,000 | 5,000 | 200 | 1,000 | 1,000 | 100 | 300 | N/A | |
| Azerbaijan | ECA | 10,000 | 10,000 | 200 | 1,000 | 1,000 | 100 | 300 | N/A | |
| Bahamas | LAC | 7,500 | 7,500 | 200 | 500 | 500 | 100 | 500 | N/A | |
| Bangladesh | SAR | 10,000 | 10,000 | 100 | 2,000 | 2,000 | 50 | 500 | N/A | |
| Barbados | LAC | 5,000 | 5,000 | 200 | 500 | 500 | 100 | 500 | N/A | |
| Belarus | ECA | 5,000 | 5,000 | 200 | 1,000 | 1,000 | 100 | 300 | N/A | |
| Belize | LAC | 3,000 | 3,000 | 200 | 500 | 500 | 100 | 300 | N/A | |
| Benin | AFR | 10,000 | 10,000 | 200 | 1,000 | 1,000 | 100 | 100 | 300 | |
| Bhutan | SAR | 1,500 | 1,500 | 50 | 200 | 200 | 30 | 200 | N/A | |
| Bolivia | LAC | 5,000 | 5,000 | 250 | 500 | 500 | 50 | 200 | N/A | |
| Bosnia | ECA | 5,000 | 5,000 | 200 | 1,000 | 1,000 | 100 | 300 | N/A | |
| Botswana | AFR | 5,000 | 5,000 | 200 | 1,000 | 1,000 | 100 | 200 | 300 | |
| Brazil | LAC | 25,000 | 25,000 | 200 | 5,000 | 5,000 | 100 | 1,500 | N/A | |
| Bulgaria | ECA | 20,000 | 20,000 | 200 | 2,000 | 2,000 | 100 | 500 | N/A | |
| Burkina Faso | AFR | 5,000 | 5,000 | 200 | 1,000 | 1,000 | 100 | 200 | 400 | |
| Burundi | AFR | 7,000 | 7,000 | 200 | 3,000 | 3,000 | 100 | 200 | 300 | |
| Cambodia | EAP | 2,000 | 2,000 | 200 | 600 | 600 | 100 | 200 | N/A | |
| Cameroon | AFR | 10,000 | 10,000 | 200 | 1,000 | 1,000 | 100 | 100 | 300 | |
| Cape Verde | AFR | 5,000 | 5,000 | 200 | 500 | 500 | 100 | 300 | 300 | |
| Central African Republic | AFR | 5,000 | 5,000 | 200 | 500 | 500 | 100 | 100 | 200 | |
| Chad | AFR | 10,000 | 10,000 | 200 | 1,000 | 1,000 | 100 | 100 | 300 | |
| Chile | LAC | 15,000 | 15,000 | 350 | 3,000 | 3,000 | 100 | 500 | N/A | |
| China | EAP | 40,000 | 40,000 | 500 | 10,000 | 10,000 | 500 | 500 | N/A | |
| Colombia | LAC | 20,000 | 20,000 | 350 | 2 ,000 | 2,000 | 50 | 400 | N/A | |
| Comoros | AFR | 3,000 | 3,000 | 200 | 500 | 500 | 100 | 100 | 300 | |
| Congo | AFR | 10,000 | 10,000 | 200 | 1,000 | 1,000 | 100 | 100 | 200 | |
| Costa Rica | LAC | 7,500 | 7,500 | 70 | 750 | 750 | 50 | 300 | N/A | |
| Côte d'Ivoire | AFR | 10,000 | 10,000 | 200 | 1,000 | 1,000 | 100 | 300 | 500 | |
| Croatia | ECA | 20,000 | 20,000 | 200 | 2,000 | 2,000 | 100 | 500 | N/A | |
| Czech Rep | ECA | 20,000 | 20,000 | 200 | 2,000 | 2,000 | 100 | 500 | N/A | |
| Djibouti | MENA | 2,000 | 2,000 | 200 | 300 | 300 | 50 | 300 | N/A | |
| Dominican | LAC | 7,500 | 7,500 | 350 | 1,000 | 1,000 | 100 | 500 | N/A | |

¹ For AFR: Based on country-specific needs and circumstances, shopping thresholds for the purchase of vehicles and fuel may be increased up to US\$500,000.

Thresholds for Procurement Approaches and Methods (US\$ thousands) As of June 15, 2016

| | | V | Vorks | | Goods, IT and non-consulting Shortlist of consult | | | | |
|--------------------------------------|--------|----------------------------|-----------------------|----------|---|-----------------------|-----------|---------------------|--|
| Country | Region | Open international ≧ | Open national < | RfQ ≦ | Open internation al ≧ | Open national < | RfQ¹ ≦ | Consulting services | Engineering & construction supervision ≦ |
| Republic | | | | | | | | | |
| Democratic Republic of Congo | AFR | 10,000 | 10,000 | 200 | 1,000 | 1,000 | 100 | 100 | 200 |
| Ecuador | LAC | 8,000 | 8,000 | 250 | 500 | 500 | 50 | 200 | N/A |
| Egypt | MENA | 10,000 | 10,000 | 200 | 1,000 | 1,000 | 100 | 300 | N/A |
| El Salvador | LAC | 7,500 | 7,500 | 70 | 750 | 750 | 50 | 300 | N/A |
| Eritrea | AFR | 5,000 | 5,000 | 200 | 500 | 500 | 100 | 100 | 200 |
| Ethiopia | AFR | 7,000 | 7,000 | 200 | 1,000 | 1,000 | 100 | 200 | 300 |
| Gabon | AFR | 5,000 | 5,000 | 200 | 1,000 | 1,000 | 100 | 100 | 300 |
| Gambia | AFR | 3,000 | 3,000 | 200 | 300 | 300 | 100 | 200 | 200 |
| Georgia | ECA | 10,000 | 10,000 | 200 | 1,000 | 1,000 | 100 | 300 | N/A |
| Ghana | AFR | 15,000 | 15,000 | 200 | 3,000 | 3,000 | 100 | 300 | 500 |
| Guatemala | LAC | 5,000 | 5,000 | 50 | 500 | 500 | 30 | 300 | N/A |
| Guinea Bissau | AFR | 3,000 | 3,000 | 200 | 300 | 300 | 100 | 200 | 200 |
| Guinea | AFR | 5,000 | 5,000 | 200 | 500 | 500 | 100 | 100 | 200 |
| Guyana | LAC | 3,000 | 3,000 | 200 | 500 | 500 | 100 | 300 | N/A |
| Haiti | LAC | 3,000 | 3,000 | 1,000 | 500 | 500 | 500 | 200 | N/A |
| Honduras | LAC | 5,000 | 5,000 | 50 | 500 | 500 | 30 | 300 | N/A |
| Hungary | ECA | 20,000 | 20,000 | 200 | 2,000 | 2,000 | 100 | 500 | N/A |
| India | SAR | 40,000 | 40,000 | 100 | 3,000 | 3,000 | 100 | 800 | N/A |
| Federated States of Micronesia | EAP | 5,000 | 5,000 | 1,000 | 1,000 | 1,000 | 500 | N/A | N/A |
| Fiji | EAP | 5,000 | 5,000 | 1,000 | 1,000 | 1,000 | 500 | N/A | N/A |
| Indonesia | EAP | 25,000 | 25,000 | 200 | 2,000 | 2,000 | 100 | 400 | N/A |
| Iran | MENA | 10,000 | 10,000 | 200 | 1,000 | 1,000 | 100 | 300 | 500 |
| Iraq | MENA | 10,000 | 10,000 | 200 | 1,000 | 1,000 | 100 | 300 | N/A |
| Jamaica | LAC | 7,500 | 7,500 | 200 | 1,000 | 1,000 | 100 | 500 | N/A |
| Jordan | MENA | 10,000 | 10,000 | 200 | 1,000 | 1,000 | 100 | 300 | 500 |
| Kazakhstan | ECA | 20,000 | 20,000 | 200 | 2,000 | 2,000 | 100 | 500 | N/A |
| Kenya | AFR | 15,000 | 15,000 | 200 | 3,000 | 3,000 | 100 | 300 | 300 |
| Kiribati | EAP | 5,000 | 5,000 | 1,000 | 1,000 | 1,000 | 500 | N/A | N/A |
| Kosovo | ECA | 5,000 | 5,000 | 200 | 1,000 | 1,000 | 100 | 300 | N/A |
| Kyrgyz Republic | ECA | 5,000 | 5,000 | 200 | 1,000 | 1,000 | 100 | 300 | N/A |
| Lao | EAP | 2,000 | 2,000 | 200 | 600 | 600 | 100 | 200 | N/A |
| Latvia | ECA | 20,000 | 20,000 | 200 | 2,000 | 2,000 | 100 | 500 | N/A |
| Lebanon | MENA | 10,000 | 10,000 | 200 | 1,000 | 1,000 | 100 | 300 | 500 |
| Lesotho | AFR | 7,000 | 7,000 | 200 | 1,000 | 1,000 | 100 | 100 | 300 |
| Liberia | AFR | 5,000 | 5,000 | 200 | 500 | 500 | 100 | 100 | 200 |
| (FYR) Macedonia | ECA | 5,000 | 5,000 | 200 | 1,000 | 1,000 | 100 | 300 | N/A |
| Madagascar | AFR | 5,000 | 5,000 | 200 | 500 | 500 | 100 | 100 | 300 |
| Malawi | AFR | 7,000 | 7,000 | 200 | 1,000 | 1,000 | 100 | 200 | 300 |

Thresholds for Procurement Approaches and Methods (US\$ thousands) As of June 15, 2016

| | | V | Vorks | | Goods, IT a | nd non-con ervices | sulting | | of national cultants |
|---|--------|----------------------------|-----------------------|----------|-----------------------------|-----------------------|-----------|---------------------|--|
| Country | Region | Open international ≧ | Open national < | RfQ ≦ | Open internation al ≧ | Open national < | RfQ¹ ≦ | Consulting services | Engineering & construction supervision ≦ |
| Maldives | SAR | 1,000 | 1,000 | 50 | 100 | 100 | 25 | 200 | N/A |
| Mali | AFR | 15,000 | 15,000 | 200 | 3,000 | 3,000 | 100 | 200 | 400 |
| Mauritania | AFR | 10,000 | 10,000 | 200 | 1,000 | 1,000 | 100 | 100 | 300 |
| Mauritius | AFR | 3,000 | 3,000 | 200 | 1,000 | 1,000 | 100 | 200 | 300 |
| Mexico | LAC | 25,000 | 25,000 | 500 | 6,000 | 6,000 | 100 | 1,000 | N/A |
| Moldova | ECA | 5,000 | 5,000 | 200 | 1,000 | 1,000 | 100 | 300 | N/A |
| Marshall Islands | EAP | 5,000 | 5,000 | 1,000 | 1,000 | 1,000 | 500 | N/A | N/A |
| Mongolia | EAP | 3,000 | 3,000 | 200 | 300 | 300 | 100 | 100 | N/A |
| Montenegro | ECA | 5,000 | 5,000 | 200 | 1,000 | 1,000 | 100 | 300 | N/A |
| Morocco | MENA | 15,000 | 15,000 | 300 | 3,000 | 3,000 | 200 | 300 | N/A |
| Mozambique | AFR | 15,000 | 15,000 | 200 | 3,000 | 3,000 | 100 | 300 | 300 |
| Myanmar | EAP | 2,000 | 2,000 | 200 | 500 | 500 | 100 | 100 | N/A |
| Namibia | AFR | 10,000 | 10,000 | 200 | 1,000 | 1,000 | 100 | 200 | 300 |
| Nepal | SAR | 5,000 | 5,000 | 25 | 1,000 | 1,000 | 25 | 300 | N/A |
| Nicaragua | LAC | 5,000 | 5,000 | 50 | 500 | 500 | 30 | 300 | N/A |
| Niger | AFR | 5,000 | 5,000 | 200 | 500 | 500 | 100 | 100 | 200 |
| Nigeria | AFR | 20,000 | 20,000 | 200 | 5,000 | 5,000 | 100 | 300 | 500 |
| Organization of Eastern Caribbean States | LAC | 3,000 | 3,000 | 200 | 1,000 | 1,000 | 100 | 300 | N/A |
| Pakistan | SAR | 20,000 | 20,000 | 100 | 2,000 | 2,000 | 100 | 500 | N/A |
| Panama | LAC | 7,500 | 7,500 | 70 | 750 | 750 | 50 | 300 | N/A |
| Palau | EAP | 5,000 | 5,000 | 1,000 | 1,000 | 1,000 | 500 | N/A | N/A |
| Papua New Guinea | EAP | 5,000 | 5,000 | 1,000 | 1,000 | 1,000 | 500 | 100 | N/A |
| Paraguay | LAC | 5,000 | 5,000 | 250 | 1,000 | 1,000 | 50 | 200 | N/A |
| Peru | LAC | 10,000 | 10,000 | 250 | 500 | 500 | 50 | 350 | N/A |
| Philippines | EAP | 15,000 | 15,000 | 200 | 3,000 | 3,000 | 100 | 200 | N/A |
| Poland | ECA | 20,000 | 20,000 | 200 | 2,000 | 2,000 | 100 | 500 | N/A |
| Romania | ECA | 20,000 | 20,000 | 200 | 2,000 | 2,000 | 100 | 500 | N/A |
| Russia | ECA | 20,000 | 20,000 | 200 | 2,000 | 2,000 | 100 | 500 | N/A |
| Rwanda | AFR | 10,000 | 10,000 | 200 | 1,000 | 1,000 | 100 | 200 | 300 |
| Samoa | EAP | 5,000 | 5,000 | 1,000 | 1,000 | 1,000 | 500 | 100 | N/A |
| São Tome and PRI | AFR | 3,000 | 3,000 | 200 | 500 | 500 | 100 | 100 | 300 |
| Senegal | AFR | 15,000 | 15,000 | 200 | 1,000 | 1,000 | 100 | 300 | 500 |
| Serbia | ECA | 5,000 | 5,000 | 200 | 1,000 | 1,000 | 100 | 300 | N/A |
| Seychelles | AFR | 3,000 | 3,000 | 200 | 500 | 500 | 100 | 100 | 300 |
| Sierra Leone | AFR | 5,000 | 5,000 | 200 | 500 | 500 | 100 | 100 | 200 |
| Slovak Republic | ECA | 20,000 | 20,000 | 200 | 2,000 | 2,000 | 100 | 500 | N/A |
| Solomon Islands | EAP | 5,000 | 5,000 | 1,000 | 1,000 | 1,000 | 500 | N/A | N/A |
| Somalia | AFR | 5,000 | 5,000 | 200 | 500 | 500 | 100 | 100 | 200 |

Thresholds for Procurement Approaches and Methods (US\$ thousands)

As of June 15, 2016

| | | V | Vorks | | · · · · · · · · · · · · · · · · · · · | Goods, IT and non-consulting Shortlist of nati | | | |
|---------------------|--------|----------------------------|-----------------------|----------|---------------------------------------|--|-----------|-----------------------|--|
| Country | Region | Open international ≧ | Open national < | RfQ ≦ | Open internation al ≧ | Open national < | RfQ¹ ≦ | Consulting services < | Engineering & construction supervision ≦ |
| South Africa | AFR | 20,000 | 20,000 | 200 | 5,000 | 5,000 | 100 | 300 | 300 |
| South Sudan | AFR | 3,000 | 3,000 | 200 | 500 | 500 | 100 | 100 | 200 |
| Sri Lanka | SAR | 10,000 | 10,000 | 50 | 1,000 | 1,000 | 50 | 500 | N/A |
| Sudan | AFR | 5,000 | 5,000 | 200 | 500 | 500 | 100 | 100 | 200 |
| Suriname | LAC | 3,000 | 3,000 | 200 | 200 | 500 | 100 | 300 | N/A |
| Swaziland | AFR | 5,000 | 5,000 | 200 | 3,000 | 3,000 | 100 | 300 | 300 |
| Syria | MENA | 5,000 | 5,000 | 200 | 500 | 500 | 100 | 300 | N/A |
| Tajikistan | ECA | 5,000 | 5,000 | 200 | 1,000 | 1,000 | 100 | 300 | N/A |
| Tanzania | AFR | 15,000 | 15,000 | 200 | 5,000 | 5,000 | 100 | 300 | 300 |
| Thailand | EAP | 5,000 | 5,000 | 50 | 500 | 500 | 100 | 500 | N/A |
| Timor-Leste | EAP | 5,000 | 5,000 | 1,000 | 1,000 | 1,000 | 500 | 100 | N/A |
| Togo | AFR | 5,000 | 5,000 | 200 | 500 | 500 | 100 | 100 | 200 |
| Tonga | EAP | 5,000 | 5,000 | 1,000 | 1,000 | 1,000 | 500 | N/A | N/A |
| Trinidad and Tobago | LAC | 7,500 | 7,500 | 200 | 1,000 | 1,000 | 100 | 500 | N/A |
| Tunisia | MENA | 10,000 | 10,000 | 300 | 3,000 | 3,000 | 200 | 300 | N/A |
| Turkey | ECA | 30,000 | 30,000 | 200 | 2,000 | 2,000 | 100 | 500 | N/A |
| Uganda | AFR | 10,000 | 10,000 | 200 | 1,000 | 1,000 | 100 | 200 | 300 |
| Ukraine | ECA | 5,000 | 5,000 | 200 | 1,000 | 1,000 | 100 | 300 | N/A |
| Uruguay | LAC | 5,000 | 5,000 | 250 | 1,000 | 1,000 | 50 | 200 | N/A |
| Uzbekistan | ECA | 5,000 | 5,000 | 200 | 1,000 | 1,000 | 100 | 300 | N/A |
| Tuvalu | EAP | 5,000 | 5,000 | 1,000 | 1,000 | 1,000 | 500 | N/A | N/A |
| Vanuatu | EAP | 5,000 | 5,000 | 1,000 | 1,000 | 1,000 | 500 | N/A | N/A |
| Vietnam | EAP | 20,000 | 20,000 | 200 | 3,000 | 3,000 | 100 | 500 | N/A |
| West Bank Gaza | MENA | 5,000 | 5,000 | 200 | 500 | 500 | 100 | 300 | N/A |
| Yemen | MENA | 5,000 | 5,000 | 200 | 500 | 500 | 100 | 300 | N/A |
| Zambia | AFR | 10,000 | 10,000 | 200 | 2,000 | 2,000 | 100 | 200 | 300 |
| Zimbabwe | AFR | 5,000 | 5,000 | 200 | 500 | 500 | 100 | 100 | 300 |

SECTION IV - OTHER PROVISIONS

None.

Section V - TRANSITIONAL PROVISIONS

None.

SECTION VI – EFFECTIVE DATE

This Guidance is effective on July 1, 2016.

SECTION VII - ISSUER

The issuer of this Guidance is the CPO, OPCS

SECTION VIII - SPONSOR

The sponsor of this Guidance is the OPCS Lead Specialist.

SECTION IX - RELATED DOCUMENTS

Bank Directive, "Procurement in IPF and Other Procurement Operational Matters."

Bank Policy, "Procurement in IPF and Other Operational Procurement Matters."

Bank Procedure, "Procurement in IPF and Other Operational Procurement Matters."

PPSD Guidance. (E-link)

"World Bank Procurement Regulations for IPF Borrowers."

Questions about this Guidance should be addressed to the CPO, OPCS